

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Knoblock et al. **Docket:** RIC97006P1  
**Serial No.:** 09/995,193 **Art Unit:** 2171  
**Filed:** November 27, 2001 **Examiner:** Not Assigned  
**Title:** **METHOD, SYSTEM AND PROGRAM PRODUCT THAT UTILIZE A  
HIERARCHICAL CONCEPTUAL FRAMEWORK TO MODEL AN  
ENVIRONMENT CONTAINING A COLLECTION OF ITEMS**

**PETITION FOR CONTROL OF ACCESS TO APPLICATION BY ASSIGNEE IN  
ACCORDANCE WITH MPEP 106**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2100

Dear Sir:

On behalf of the Assignee of entire interest for this Application, the undersigned hereby requests that named co-inventor, Mike Golobay, and/or any representatives acting on his behalf in this matter be prevented from obtaining access to this Application except as may be otherwise provided for in statutes, regulations or procedures relevant to the conduct of the U.S. Patent Office. It is respectfully requested that this restriction apply to all manner of access while the subject file remains in confidence at the Patent Office, including access to inspect or copy and the conducting of any prosecution activities, including express abandonment. It is further requested that information about the status of the Application be held in confidence except as such information becomes publicly accessible under the provisions of 37 CFR 1.14.

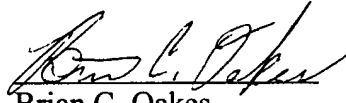
The undersigned further notes that Mr. Golobay has assigned this application to MCI Communications Corp. (a wholly owned subsidiary of WorldCom, Inc.) by virtue of an employment agreement recorded at Reel 012976 Frame 0933 in the Assignment Division of the U.S. Patent and Trademark Office. The remaining co-inventors have assigned this application to WorldCom, Inc. (See Reel/Frame 012780/0380 and 012780/0403 in the Assignment Division of the U.S. Patent and Trademark Office). Also enclosed herewith is a petition fee under 37 C.F.R. 1.17(h) for 130.00.

09/11/2002 AOSMAN1 00000101 132491 09995193

01 FC:122 130.00 CH

Accordingly, Applicant respectfully requests prompt consideration and grant of this petition. To the extent necessary, please charge any shortage fees due in connection with the filing of this paper, including extension of time fees and petition fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account. If the Office feels that it is necessary for further discussion, the undersigned attorney can be reached at (202) 736-6371.

Respectfully submitted,

  
Brian C. Oakes  
Reg. No. 41,467

Dated: September 4, 2002

WorldCom, Inc.  
1133 19<sup>th</sup> Street, NW  
Washington, D.C. 20036

Phone: (202) 736-6371  
Fax: (202) 736-6824



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Please type a plus sign (+) inside this box → ☐

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/995,193	
	Filing Date	November 27, 2001	
	First Named Inventor	Terry Knoblock et al.	
	Group Art Unit	2171	
	Examiner Name	NOT ASSIGNED	
Total Number of Pages in This Submission	7	Attorney Docket Number	RIC97006P1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		

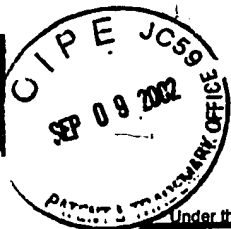
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brian C. Oakes Reg. No. 41,467 WorldCom, Inc.
Signature	
Date	September 4, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>September 4, 2002</b>			
Typed or printed name	Tracy Goodwin		
Signature		Date	September 4, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

## Complete if Known

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Filing Date	November 27, 2001
First Named Inventor	Terry Knoblock et al.
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <b>13-2491</b></p> <p>Deposit Account Name: <b>WorldCom, Inc.</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type):	<b>Brian C. Oakes</b>	Registration No. (Attorney/Agent)	<b>41,467</b>
Signature	<i>Brian C. Oakes</i>	Telephone	<b>202-736-6371</b>
		Date	<b>September 4, 2002</b>

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